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Enclosed for filing is the patent application of Inventor(s):  
ANTONIUS H.M. AKKERMANSFor: DEVICE FOR READING AND/OR WRITING INFORMATION FROM/ONTO AN OPTICAL INFORMATION  
CARRIER**ENCLOSED ARE:**

- ☒ Associate Power of Attorney;  
☒ Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;  
☐ Preliminary Amendment;  
☒ Specification ( 7 Pages of Specification, Claims, & Abstract);  
☒ Declaration and Power of Attorney:  
( 1 Pages of a ☒ fully executed ☐ unsigned Declaration);  
☒ Drawing ( 4 sheets of ☐ informal ☒ formal sheets);  
☒ Certified copy of EUROPEAN application Serial No. 99203644.2;  
☒ Other: CITATION OF RELATED CASES;  
☒ Other: AUTHORIZATION PURSUANT TO 37 CFR 1.136(a)(3);  
☒ Assignment to U.S. PHILIPS CORPORATION.

**FEE COMPUTATION**

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - \$710.00
Total Claims	2 - 20 =	0	X \$18 =	0.00
Independent Claims	1 - 3 =	0	X \$78 =	0.00
Multiple Dependent Claims, if any				\$260 = 0.00
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☐ Amend the specification by inserting before the first line the sentence: This is a continuation-in-part of application Serial No. , filed .

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Device for reading and/or writing information from/onto an optical information carrier.

The invention relates to a device for reading and/or writing information from/onto an optical information carrier, comprising

read means including imaging means for imaging a radiation beam so as to form a scanning spot by means of which the information carrier is scanned, and including  
5 detection means for generating a read signal which is indicative of the intensity of the radiation reflected from the information carrier at the location of the scanning spot,

which device has an information transfer mode, in which the scanning spot is moved in a first direction with respect to the information carrier,

which device has a displacement mode, in which the scanning spot is moved in  
10 a second direction transverse to the first direction,

control means for controlling the imaging means in response to a measurement signal which is indicative of the degree of focusing of the radiation beam at the location of the scanning spot, which control means include sample and hold means for sampling and holding  
15 the measurement signal in response to a sample signal.

From USP 5,245,598 a device is known which is suitable for scanning a magneto-optical disc-shaped information carrier. In the information carrier described therein the information is recorded in mutually concentric tracks which are separated by grooves. The  
20 information is recorded by forming magnetic patterns in the information carrier. The information is read by focusing a radiation beam is focused onto the information carrier and by measuring the polarization of the beam reflected from the location of the scanning spot thus formed. The scanning spot is then moved in a first direction, i.e. along the tracks. With the aid of detectors a focus error signal is derived from the reflected radiation. A sample and hold  
25 circuit generates a sampled focus error signal from the focus error signal. In response thereto a control system generates a control signal for focusing the beam. In a displacement mode of the device the sample and hold circuit is controlled by a tracking error signal, the focus error signal being sampled if the scanning spot is incident on a track and is maintained constant if the scanning spot is incident on a groove. According to said document the focus servo

mechanism is preferably in a continuous sample mode during scanning of the information carrier. The measures taken in the known device suppress radial to vertical crosstalk (RV crosstalk) during a radial movement of the scanning spot on a magneto-optical information carrier as described above. However, the known measures do not suppress RV crosstalk which occurs in optical information carriers which store the information in the form of differences in level.

It is an object of the invention to provide in a device of the type defined in the opening paragraph a measure which enables RV cross-talk to be suppressed in information carriers of the last-mentioned type. To this end, according to the invention, the device of the type defined in the opening paragraph is characterized in that the sample signal causes the measurement signal to be sampled when said intensity is comparatively high. Said intensity is an indication of the location of the scanning spot with respect to the patterns provided in the information carrier. In an information carrier in which the information is stored in the form of differences in level sampling of the measurement signal at instants at which said intensity is comparatively high ensures that sampling is always effect at locations having mutually the same level. This results in a substantial reduction of the radial to vertical crosstalk.

These and other aspects of the device in accordance with the invention are described in greater detail with reference to the drawings. In the drawings

Figure 1 shows diagrammatically a device in accordance with the invention,

Figure 2 shows a part of the device shown in Figure 1,

Figure 3 shows a detail at the location III in Figure 2,

Figure 4 shows a circuit of the device shown in Figure 1,

Figure 4 shows possible displacements of the scanning spot with respect to the information carrier,

Figure 6 shows some signals appearing in the circuit shown in Figure 4.

Figure 1 shows a device for reading and/or writing information from/onto an optical information carrier 1. In the present embodiment the information carrier is disc-shaped and the information carrier has mutually concentric tracks around a center which substantially

coincides with an axis 12. Together the tracks form a spiral but alternatively they may be separate from one another and be closed in themselves. The device shown in Figure 1 includes read means 2. Figure 2 shows the read means 2 in greater detail. The read means include imaging means, namely a lens 21, a beam splitter 22, and a focusing element 23 for focusing a radiation beam 24 to a scanning spot 11 by means of which the information carrier 1 is scanned. The radiation beam is generated by a radiation source 20, such as a solid-state laser. The read means further include detection means 25, 26 for generating a read signal  $S_{LS}$  which is indicative of the intensity of the radiation reflected from the information carrier 1 at the location of the scanning spot 11. In the present case, the detection means are formed by an astigmatic element 25 and a four-quadrant detector 26, which is shown in more detail in Figure 3. The detector 26 supplies a read signal  $S_{LS}$  composed of the signals D1, D2, D3, D4 which are measures of the intensity of the radiation incident on each of the four quadrants 26.1, 26.2, 26.3, 26.4 of the detector 26.

The device shown has an information transfer mode, in which the scanning spot 11 is moved along the tracks. The movement of the scanning spot 11 then has a tangential first direction with respect to the axis 12 of the information carrier 1. For this purpose, the information carrier 1 is rotated about the axis 12 by means of a motor 50.

The device also has a displacement mode, in which the scanning spot 11 is moved in a radial second direction transverse to the first direction. For this purpose, the device has coarse positioning means 60, in the form of a slide motor for moving a slide 61 which carries the read means.

The device has control means 40, 41 for controlling the imaging means 21, 22, 23 in response to a measurement signal FE. The measurement signal FE is indicative of the degree of focusing of the radiation beam 24 at the location of the scanning spot 11. The control means include sample and hold means 40 for sampling and holding the measurement signal FE in response to a sample signal  $S_{CNTRL}$ . The sample and hold means 40 generate an output signal  $FE_{SH}$  which serves as an input signal for a PID controller 41, which controls an actuator 27A, 27B for focusing the radiation beam 24.

The measurement signal FE is derived from the four signals D1-D4 by means of a signal processing unit 43, in such a manner that

$$FE = (D1+D3) - (D2+D4)$$

Furthermore, the signal processing unit 43 is responsive to the signals D1-D4 to generate a radial error signal RE, which complies with:

$$RE = (D1+D2) - (D3+D4)$$

The radial error signal RE serves as an input signal for a first radial servo system 44 for tracking in the information transfer mode. In said mode the switch 47 is closed, as a result of which the first radial servo system 44 supplies a radial control signal to the radial actuators 28<sub>A</sub>, 28<sub>B</sub>. The radial control signal also serves as an input signal for a second radial servo system 46, which supplies the control signal for the slide motor 60. The switch 47 and the second radial servo system 46 are controlled by a microprocessor 45.

The signal processing unit further generates an information signal  $S_{INFO}$  which is representative of information patterns recorded on the information carrier. The information signal  $S_{INFO}$  complies with:

$$S_{INFO} = D1 + D2 + D3 + D4.$$

The sample signal  $S_{CTRL}$  causes the measurement signal FE to be sampled when the intensity of the reflected radiation is comparatively high and the measurement signal to be held when the intensity of the reflected radiation is low. The sample signal  $S_{CTRL}$  is derived from the information signal  $S_{INFO}$  with the aid of the means 70. The means 70 are shown in greater detail in Figure 4. Said means further include means 72 for measuring the time during which the measurement signal FE is held and means 73, 75 for causing the measurement signal FE to be sampled when the time exceeds a predetermined value.

The present device operates as follows. During the read-out of information in the information transfer mode the radiation source 20 generates a radiation beam 24. The radiation beam 24 is imaged via the lens 21, the beam splitter 22 and the convergent lens 23 so as to form a light spot by means of which the information carrier 1 is scanned. Radiation reflected from the information carrier 1 is imaged onto the detector 26 via the convergent lens 23, the beam splitter 22 and the astigmatic lens 25. The light spot is moved in the first direction R1 with respect to the information carrier 1 by rotating the information carrier. By means of the signal processing unit 43 the information signal  $S_{INFO}$ , which represents the information read from the information carrier 1, is derived from the read signal  $S_{LS}$ , which is composed of the signals D1, D2, D3, D4.

Figure 5 illustrates the movement of the scanning spot 11 along a path p on the information carrier 1. The information carrier 1 has tracks 14 which are separated from one another by grooves 13. Information is recorded in the tracks 14 in the form of differences in level, namely as pits 15.

In the displacement mode the read means and, consequently, the scanning spot 11 are moved in a radial direction R2 with respect to the axis 12. Moreover, just as in the information transfer mode, the information carrier 1 is rotated, which causes the scanning spot

to be moved also in the first direction R1. As a result of this, the scanning spot 11 moves along a path P with respect to the information carrier 1.

Figure 6A shows the signal  $S_{INFO}$ , which is indicative of the intensity of the radiation reflected from the information carrier. Figure 6B shows the clock signal CL. The clock signal has pulses at the instants  $t_1, t_2, \dots, t_7$ . At these instants the scanning spot is successively situated at a position  $p_1$  to  $p_7$ . The AND gate 71 generates an auxiliary signal  $S_{INFO \& CL}$ . The clock signal CL is also supplied to a clock input of a counter 72. The count of the counter is incremented by 1 upon each edge of the clock signal and is compared with a reference value  $T_{REF}$  in a comparator 73. The OR gate 75 generates the sample signal  $S_{CNTRL}$  (see Figure 6C). As long as the count is smaller than the reference value  $T_{REF}$  the sample signal  $S_{CNTRL}$  is identical to the auxiliary signal  $S_{INFO \& CL}$ . The measurement signal FE is sampled as soon as the sample signal  $S_{CNTRL}$  has a logic value 1. In the present case the measurement signal FE is sampled at the instants  $t_2, t_6$ , at which the scanning spot is situated between the grooves 13 and between the pits 15. This precludes the occurrence of radial to vertical crosstalk.

In the case that it takes too long before the measurement signal FE is sampled instabilities may occur in the control of the actuators  $27_A, 27_B$  for focusing the beam 24. In the present embodiment this is avoided. As long as the sample signal  $S_{CNTRL}$  has a logic value "0" the count of the counter 72 is incremented upon each clock pulse of the clock signal CL. As soon as the count exceeds the reference value  $T_{REF}$  a signal  $T_{MAX}$  having a logic value "1" is generated. The sample signal  $S_{CNTRL}$  then also assumes a logic value "1", as a result of which the measurement signal FE is sampled frequently enough. As soon as the sample signal  $S_{CNTRL}$  has a logic value "1" the inverter 74 generates a reset value having a logic value "0", which resets the counter 72.

The invention is of particular importance for information carriers on which the information is stored in the form of differences in level. An attractive embodiment of the device in accordance with the invention is characterized by means for generating a signal which, when it has a first logic value, indicates that information has been/is recorded on the information carrier in the form of differences in level of a surface of the information carrier.

## CLAIMS:

1. A device for reading and/or writing information from/onto an optical information carrier (1), comprising
- read means including imaging means (21, 22, 23) for imaging a radiation beam (24) so as to form a scanning spot (11) by means of which the information carrier (1) is scanned, and including detection means (26) for generating a read signal ( $S_{LS}$ ) which is indicative of the intensity of the radiation reflected from the information carrier (1) at the location of the scanning spot (11),
- which device has an information transfer mode, in which the scanning spot (11) is moved in a first direction ( $R_1$ ) with respect to the information carrier (1),
- which device has a displacement mode, in which the scanning spot (11) is moved in a second direction ( $R_2$ ) transverse to the first direction,
- control means (40, 41) for controlling the imaging means (21, 22, 23) in response to a measurement signal (FE) which is indicative of the degree of focusing of the radiation beam (24) at the location of the scanning spot (11), which control means include sample and hold means (40) for sampling and holding the measurement signal (FE) in response to a sample signal ( $S_{CNTRL}$ ),
- characterized in that
- the sample signal ( $S_{CNTRL}$ ) causes the measurement signal (FE) to be sampled when said intensity is comparatively high.
2. A device as claimed in Claim 1, the device further including means (72) for measuring the time during which the measurement signal is held and means (73, 74, 75) for causing the measurement signal to be sampled when the time exceeds a predetermined value ( $T_{REF}$ ).

## ABSTRACT:

A device according to the invention, for reading and/or writing information from/onto an optical information carrier (1), comprises read means (2) including imaging means (21, 22, 23) for imaging a radiation beam (24) so as to form a scanning spot (11) with which the information carrier (1) is scanned and including detection means (26) for generating a read signal ( $S_{LS}$ ) which is indicative of the intensity of the radiation reflected from the information carrier (1) at the location of the scanning spot (11). The device has an information transfer mode, in which the scanning spot (11) is moved in a first direction ( $R1$ ) with respect to the information carrier (1). The device further has a displacement mode, in which the scanning spot (11) is moved in a second direction ( $R2$ ) transverse to the first direction ( $R1$ ). The device includes control means (40, 41) for controlling the imaging means (21, 22, 23) in response to a measurement signal (FE) which is indicative of the degree of focusing of the radiation beam (24) at the location of the scanning spot (11). The control means include sample and hold means (40) for sampling and holding the measurement signal (FE) in response to a sample signal ( $S_{CNTRL}$ ). According to the invention the device is characterized in that the sample signal ( $S_{CNTRL}$ ) causes the measurement signal (FE) to be sampled when said intensity is comparatively high. This measure reduces radial to vertical crosstalk.

Fig. 1





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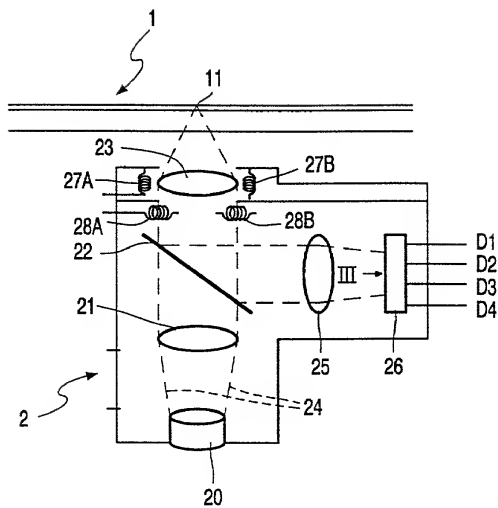


FIG. 2

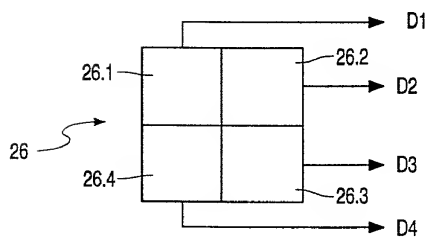


FIG. 3

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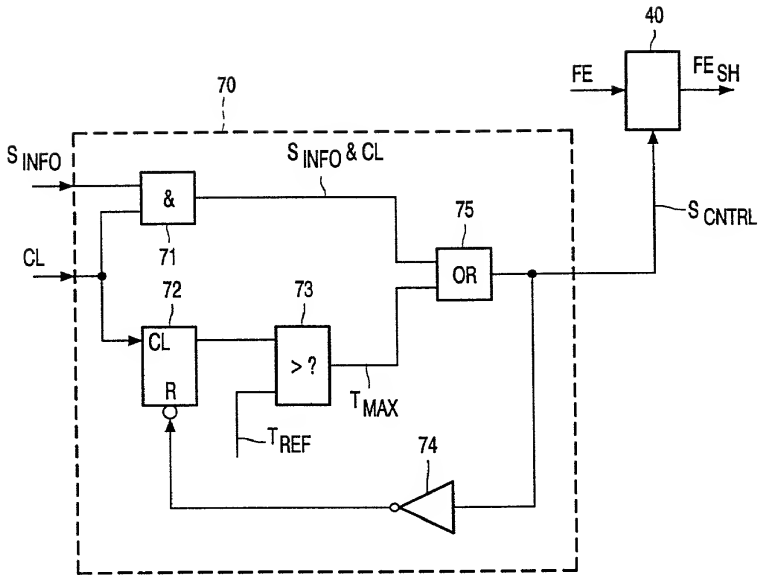


FIG. 4

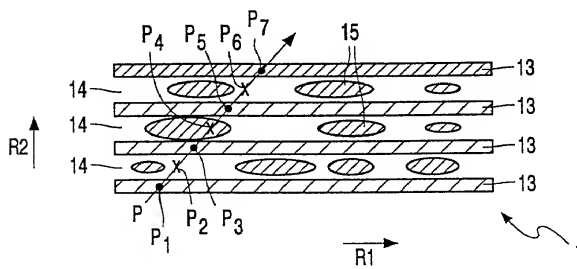


FIG. 5

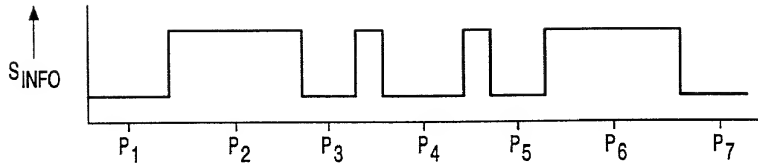


FIG. 6A

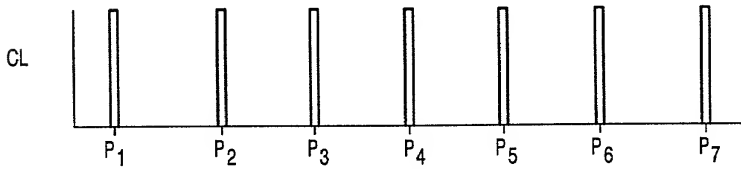


FIG. 6B



FIG. 6C

# DECLARATION and POWER OF ATTORNEY

ATTORNEY'S DOCKET NO.:

**PHN 17.721**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**"Device for reading and/or writing information from/onto an optical information carrier"**

the specification of which (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if

applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by the amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## PRIOR FOREIGN APPLICATION(S)

COUNTRY	APP. NUMBER	DATE OF FILING (DATE, MONTH, YEAR)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Europe	99203644.2	4 November 1999	YES

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

## PRIOR UNITED STATES APPLICATION(S)

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

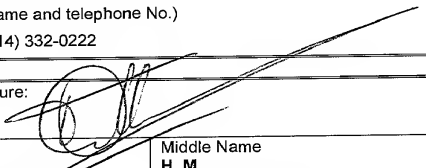
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Dated:

17 August, 2000

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